

CLIENT CONTEXT

A leading U.S. CPA firm with a global client base and multidisciplinary capabilities spanning accounting, risk, business transformation, mergers and acquisitions, and IPO readiness.

BUSINESS CHALLENGE

Growth in SOX and IPO readiness demand was outpacing access to experienced, cost-effective controls talent. The firm needed immediate capacity that could produce rigorous work products, strengthen client processes, and support engagements from scoping through remediation and testing.

OUR SOLUTION

We converted the engagement into a defined set of decision ready deliverables, then supported implementation and validation.

Planning & Mobilization

SOX readiness baseline. Benchmarked the target program against public company expectations.

Risk based scoping. Completed materiality and entity scoping using financial, transactional, geographic, and trend data.

Priority risk assessment. Used process owner diagnostics to surface areas requiring immediate attention.

Execution roadmap. Defined workstreams, sequencing, governance, ownership, and management decision points.

Execution & Enablement

Detailed process documentation. Prepared narratives across Procurement, Revenue, Inventory, Payroll, Treasury, Financial Close and Reporting, and ITGCs.

Risk and Control Matrices. Mapped financial reporting risks to as is and to be controls across each in scope process.

Control design and remediation. Defined missing or enhanced controls and supported implementation through pre-IPO workshops.

Readiness validation. Assessed control effectiveness and issued a prioritized SOX readiness and remediation report.

BUSINESS VALUE CREATED

Scalable capacity. Expanded support for growing SOX demand with experienced, cost-effective resources.

Standardized delivery. Established consistent narratives and RCMs across critical financial and IT processes.

Actionable remediation. Converted gaps into a prioritized agenda with clear ownership and sequencing.

Stronger readiness. Equipped teams and management to advance toward testing with greater confidence.

CONTACT US

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